

Unscoped DCT Report (Custom DCT)

DCT Title: SMS-Accountable Executive Review Design Demonstration(OP)

DCT Type: National/Regional

Specialty: Operations

Assessment Type: DA

Revision: 1.0 on 03/04/2015 (Released)

Summary Information

Purpose (Certificate Holder Responsibility): Designate an Accountable Executive who is involved in the system wide safety management efforts.

Objective (FAA Responsibility): Confirm through design demonstration, that the CH Accountable Executive has adequate knowledge and plays an active role in directing actions relevant to resolving safety performance deficiencies in the system.

| Questions | Answers | References |
|---|--|---------------------------|
| <p>1. Does the certificate holder have documentation showing that the accountable executive is periodically reviewing and assessing the organization's safety management performance?</p> <p>Safety Attribute: Management Responsibility, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048671, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p> | <p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p> | 5.25(b); 5.73(a); 5.73(b) |
| <p>2. Does the certificate holder have documentation showing that the accountable executive directs actions to address substandard safety performance?</p> <p>Safety Attribute: Management Responsibility, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048672, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p> | <p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p> | 5.25(b) |

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3. Does the certificate holder have documentation showing the directives of the accountable executive are tracked and reported upon at the next regular review or as required?

- Yes
 No
 Not Applicable

5.25(b); 5.73(a); 5.73(b); 5.97(a); 5.97(b); 5.97(c); 5.97(d)

Safety Attribute: Management Responsibility, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048673, Response Details: EP & ED DCTs (Both OP and AW), Status: Released

Response Details List EP & ED DCTs (Both OP and AW) (Released , 1.0)

| | |
|----|--|
| 1 | Unclear procedure(s) |
| 2 | Conflicting procedure(s) |
| 3 | Used workaround(s) |
| 4 | Skipped process step(s) |
| 5 | Personnel failed to follow process (procedures, guidance, etc) |
| 6 | Procedures/guidance not available |
| 7 | Procedures/guidance not current |
| 8 | Inconsistent procedure(s) |
| 9 | Undocumented procedure(s) |
| 10 | Minor typographical error(s) (i.e. record entries) |
| 11 | Information missing |
| 12 | Communication failure(s) |
| 13 | Personnel failed to perform task |
| 14 | Process failed to meet desired outcome |
| 15 | Process oversight failure |
| 16 | Equipment/tools not available |
| 17 | Equipment/tools not adequate |
| 18 | Equipment/tools not calibrated |

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|--|--|
| 19 | Facilities not adequate |
| 20 | Other |
| Response Details List N/A Response Detail (Released , 1.0) | |
| 1 | Operator not authorized by OpSpecs |
| 2 | Equipment not equipped/authorized |
| 3 | Not applicable due to exemption, deviation, or exception |
| 4 | Not authorized by regulation |
| 5 | Not authorized by Order |
| 6 | Not authorized by Notice |
| 7 | Not authorized/recommended by Advisory Circular |
| 8 | Not authorized by Policy Letter, Legal Interpretation, or other guidance |
| 9 | Other |