

Unscoped DCT Report (Custom DCT)

DCT Title: SMS–Safety Policy Design Demonstration(OP)
DCT Type: National/Regional office created Custom DCT
Specialty: Operations
Assessment Type: Design Assessment
Revision: 1 on 03/04/2015

Summary Information

Purpose (Certificate Holder Responsibility):

Implement a safety policy that includes the detection and reporting of unacceptable behavior and the conditions for the disciplinary action and accountability of the safety within their organization.

Objective (FAA Responsibility):

Confirm through design demonstration, that the implementation of the safety policy has been effectively conveyed to all employees throughout the organization.

Questions	Answers	References
<p>1. Do employees at all levels of the organization demonstrate awareness of their system for employee reporting of safety hazards or issues?</p> <p>NOTE: The demonstration of employee awareness is assessed from employee interviews.</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048615, Response Details: EP & ED DCTs (Both OP and AW),</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>2. Do employees, at all levels of their organization, demonstrate awareness of unacceptable safety behavior and conditions for disciplinary action?</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	

<p>NOTE: The demonstration of employee awareness is assessed from employee interviews.</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048616, Response Details: EP & ED DCTs (Both OP and AW),</p>		
<p>3. Do employees, at all levels of their organization, demonstrate awareness of their defined safety accountability (i.e. can they relate safety objective(s) to their job)?</p> <p>NOTE: The demonstration of employee awareness is assessed from employee interviews.</p> <p>Safety Attribute: Management Responsibility, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048617, Response Details: EP & ED DCTs (Both OP and AW),</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p>	

EP & ED DCTs (Both OP and AW) Response Details List (1.0)
1. Unclear procedure(s)
2. Conflicting procedure(s)
3. Used workaround(s)
4. Skipped process step(s)
5. Personnel failed to follow process (procedures, guidance, etc)
6. Procedures/guidance not available
7. Procedures/guidance not current
8. Inconsistent procedure(s)
9. Undocumented procedure(s)
10. Minor typographical error(s) (i.e. record entries)

11. Information missing
12. Communication failure(s)
13. Personnel failed to perform task
14. Process failed to meet desired outcome
15. Process oversight failure
16. Equipment/tools not available
17. Equipment/tools not adequate
18. Equipment/tools not calibrated
19. Facilities not adequate
20. Other