

Unscoped DCT Report (Custom DCT)

DCT Title: SMS-Emergency Preparedness/Response Design Demonstration(AW)

DCT Type: National/Regional

Specialty: Airworthiness

Assessment Type: DA

Revision: 1.0 on 03/04/2015 (Released)

Summary Information

Purpose (Certificate Holder Responsibility): Implement an emergency response plan (ERP) as necessary, without compromise to safety including documented organizational interfaces.

Objective (FAA Responsibility): Confirm through design demonstration that the certificate holder can effectively transition from normal operations to emergency operations without compromising safety.

Questions	Answers	References
<p>1. Does the certificate holder clearly identify "proxies" and the assignment and limitations of their authority to perform safety management responsibilities when select individuals are moved from daily into emergency operations?</p> <p>NOTE: A proxy is delegated authority on an emergency basis to represent and perform the duties of an individual during their absence.</p> <p>Safety Attribute: Management Responsibility, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 2.0 03/10/2015, QID: 00048618, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p>	<p>5.27(a); 5.27(b)</p>
<p>2. Does the identified proxy understand their defined limitations and authority as documented by the certificate holder for instances where emergency authority is delegated?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p>	<p>5.27(a)</p>

<p>Safety Attribute: Management Responsibility, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048619, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Not Applicable</p>	
<p>3. Does the certificate holder have documentation that those identified with delegated authority (proxies) have the competencies (i.e., qualification, training, knowledge, and experience) required by the organization to make safety related decisions for their process area (e.g., SRM activities, corrective action oversight, etc.)?</p> <p>Safety Attribute: Management Responsibility, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048620, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	<p>5.91</p>
<p>4. Does the certificate holder have documentation that emergency response plans are coordinated with external business partners that have emergency response plans (e.g., code share partners, airports, etc.)?</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048621, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	<p>5.27(c)</p>

Response Details List EP & ED DCTs (Both OP and AW) (Released , 1.0)	
1	Unclear procedure(s)
2	Conflicting procedure(s)
3	Used workaround(s)
4	Skipped process step(s)

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5	Personnel failed to follow process (procedures, guidance, etc)
6	Procedures/guidance not available
7	Procedures/guidance not current
8	Inconsistent procedure(s)
9	Undocumented procedure(s)
10	Minor typographical error(s) (i.e. record entries)
11	Information missing
12	Communication failure(s)
13	Personnel failed to perform task
14	Process failed to meet desired outcome
15	Process oversight failure
16	Equipment/tools not available
17	Equipment/tools not adequate
18	Equipment/tools not calibrated
19	Facilities not adequate
20	Other
Response Details List N/A Response Detail (Released , 1.0)	
1	Operator not authorized by OpSpecs
2	Equipment not equipped/authorized
3	Not applicable due to exemption, deviation, or exception
4	Not authorized by regulation
5	Not authorized by Order
6	Not authorized by Notice
7	Not authorized/recommended by Advisory Circular
8	Not authorized by Policy Letter, Legal Interpretation, or other guidance
9	Other

