

Unscoped DCT Report (Custom DCT)

DCT Title: SMS–Safety Policy Design Demonstration(AW)

DCT Type: National/Regional

Specialty: Airworthiness

Assessment Type: DA

Revision: 1.0 on 03/04/2015 (Released)

Summary Information

Purpose (Certificate Holder Responsibility):

Implement a safety policy that includes the detection and reporting of unacceptable behavior and the conditions for the disciplinary action and accountability of the safety within their organization.

Objective (FAA Responsibility):

Confirm through design demonstration, that the implementation of the safety policy has been effectively conveyed to all employees throughout the organization.

Questions	Answers	References
<p>1. Do employees at all levels of the organization demonstrate awareness of their system for employee reporting of safety hazards or issues?</p> <p>NOTE: The demonstration of employee awareness is assessed from employee interviews.</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145"), Rev. 1.0 03/10/2015, QID: 00048615, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p>	5.21(a)
<p>2. Do employees, at all levels of their organization, demonstrate awareness of unacceptable safety behavior and conditions for disciplinary action?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p>	5.21(a)

<p>NOTE: The demonstration of employee awareness is assessed from employee interviews.</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048616, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>		
<p>3. Do employees, at all levels of their organization, demonstrate awareness of their defined safety accountability (i.e. can they relate safety objective(s) to their job)?</p> <p>NOTE: The demonstration of employee awareness is assessed from employee interviews.</p> <p>Safety Attribute: Management Responsibility, Question Type: Output Validation, Scoping Attribute: (FAR PART = "121" OR "135" OR "145") , Rev. 1.0 03/10/2015, QID: 00048617, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p>	<p>5.21(a); 5.23(a)</p>

Response Details List EP & ED DCTs (Both OP and AW) (Released , 1.0)	
1	Unclear procedure(s)
2	Conflicting procedure(s)
3	Used workaround(s)
4	Skipped process step(s)
5	Personnel failed to follow process (procedures, guidance, etc)
6	Procedures/guidance not available
7	Procedures/guidance not current
8	Inconsistent procedure(s)
9	Undocumented procedure(s)
10	Minor typographical error(s) (i.e. record entries)

SMS–Safety Policy Design Demonstration(AW), National/Regional, DA, Airworthiness, DCT Revision: 1.0 on 03/04/2015

11	Information missing
12	Communication failure(s)
13	Personnel failed to perform task
14	Process failed to meet desired outcome
15	Process oversight failure
16	Equipment/tools not available
17	Equipment/tools not adequate
18	Equipment/tools not calibrated
19	Facilities not adequate
20	Other
Response Details List N/A Response Detail (Released , 1.0)	
1	Operator not authorized by OpSpecs
2	Equipment not equipped/authorized
3	Not applicable due to exemption, deviation, or exception
4	Not authorized by regulation
5	Not authorized by Order
6	Not authorized by Notice
7	Not authorized/recommended by Advisory Circular
8	Not authorized by Policy Letter, Legal Interpretation, or other guidance
9	Other