

Summary Information

Purpose (Certificate Holder Responsibility): To offer hazardous materials properly under the terms and conditions of a Special Permit (SP) or Competent Authority Approval (CAA).

Objective (FAA Responsibility): Determine if entity has: 1) Offered hazardous materials properly under the terms and conditions of a Special Permit (SP) or Competent Authority Approval (CAA) 2) maintained ability to comply with terms and conditions of SP/CAA if renewal is granted.

Questions	Answers	Comments
<p>1 Did the entity ensure that each hazardous material employee, performing a function related the terms and conditions of the SP/CAA, received training specific to the SP/CAA?</p> <p>REFERENCES: 49 CFR 172.702, 49 CFR 173.22</p> <p>NOTE: To include direct entity employees, contract employees, third-party packaging entities, and transporters, etc. (if applicable).</p> <p>Safety Attribute: Procedures, Question Type: Output Validation,</p> <p>Scoping Attribute: (FAR PART = "49CFR") , Rev. 2 4/26/2019 10:35:42 AM,</p> <p>QID: 00055048, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Observable</p> <p><input type="radio"/> Not Applicable</p>	
<p>2 Did the entity properly prepare hazardous materials in accordance with the terms and conditions of the SP/CAA to include:</p> <p>#1 Preparing a shippers declaration/all documentation requirements;</p> <p>#2 Marking;</p> <p>#3 Labeling;</p> <p>#4 Packaging.</p> <p>NOTE: To include direct entity employees, contract employees, third-party packaging entities, and transporters, etc. (if applicable).</p> <p>Safety Attribute: Procedures, Question Type: Output Validation,</p> <p>Scoping Attribute: (FAR PART = "49CFR") , Rev. 1 4/26/2019 10:35:43 AM,</p> <p>QID: 00055101, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Observable</p> <p><input type="radio"/> Not Applicable</p>	
<p>3 Verify that hazardous material incidents were immediately reported, as required.</p> <p>REFERENCES: 49 CFR 171.16, 49 CFR 171.15</p> <p>Safety Attribute: Procedures, Question Type: Output Validation,</p> <p>Scoping Attribute: (FAR PART = "49CFR") , Rev. 1 4/26/2019 10:35:42 AM,</p> <p>QID: 00055106, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Incidents are being reported as required</p> <p><input type="radio"/> Incidents are not being reported as required</p> <p><input type="radio"/> Not Observable</p> <p><input type="radio"/> Not Applicable</p>	
<p>4 Did the entity maintain a record of all activity conducted under the authority granted in the SP/CA to include:</p> <p>#1 Dates of shipment;</p> <p>#2 Description of each type of shipment.</p> <p>#3 Made the record available to DOT representatives/enforcement officials.</p> <p>NOTE: The record must contain a listing and number of shipments. Records are maintained throughout the duration of the SP/CAA.</p> <p>Safety Attribute: Procedures, Question Type: Output Validation,</p> <p>Scoping Attribute: (FAR PART = "49CFR") , Rev. 1 4/26/2019 10:35:43 AM,</p> <p>QID: 00055107, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Observable</p> <p><input type="radio"/> Not Applicable</p>	
<p>5 Did the entity adhere to all additional safety control measure requirements within the SP/CAA?</p> <p>Safety Attribute: Procedures, Question Type: Process Observation,</p> <p>Scoping Attribute: (FAR PART = "49CFR") , Rev. 1 4/26/2019 10:35:43 AM,</p> <p>QID: 00055111, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p><input type="radio"/> Entity adhered to all requirements</p> <p><input type="radio"/> Entity adhered to some of the requirements</p> <p><input type="radio"/> Entity did not adhere to any of the requirements</p> <p><input type="radio"/> Not Observable</p> <p><input type="radio"/> Not Applicable</p>	
<p>6 Did the entity adhere to all additional special provision requirements within the SP/CAA?</p>	<p><input type="radio"/> Entity adhered to all</p>	

Questions	Answers	Comments
<p>Safety Attribute: Procedures, Question Type: Process Observation,</p> <p>Scoping Attribute: (FAR PART = "49CFR") , Rev. 1 4/26/2019 10:35:43 AM,</p> <p>QID: 00055112, Response Details: EP & ED DCTs (Both OP and AW), Status: Released</p>	<p>requirements</p> <p><input type="radio"/> Entity adhered to some of the requirements</p> <p><input type="radio"/> Entity did not adhere to any of the requirements</p> <p><input type="radio"/> Not Observable</p> <p><input type="radio"/> Not Applicable</p>	

Response Details List EP & ED DCTs (Both OP and AW) (Released, 1.0)

1	Unclear procedure(s)
2	Conflicting procedure(s)
3	Used workaround(s)
4	Skipped process step(s)
5	Personnel failed to follow process (procedures, guidance, etc)
6	Procedures/guidance not available
7	Procedures/guidance not current
8	Inconsistent procedure(s)
9	Undocumented procedure(s)
10	Minor typographical error(s) (i.e. record entries)
11	Information missing
12	Communication failure(s)
13	Personnel failed to perform task
14	Process failed to meet desired outcome
15	Process oversight failure
16	Equipment/tools not available
17	Equipment/tools not adequate
18	Equipment/tools not calibrated
19	Facilities not adequate
20	Other

Response Details List N/O Response Detail (Released, 2.0)

1	Personnel (e.g., key certificate holder personnel not present/available)
2	Equipment (e.g., equipment not present/available/in serviceable condition)
3	Event did not occur (e.g., did not observe an intoxicated passenger on the flight)
4	Time Constraints (e.g., resources/workload)
5	Weather (e.g., weather needed for observation not present or weather made observation impossible)
6	Other

Response Details List N/A Response Detail (Released, 2.0)

1	Not applicable or authorized for the certificate holder or applicant
2	Other